



FY09 PERFORMANCE PLAN Office of the Inspector General

MISSION

The mission of the Office of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, and to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.

SUMMARY OF SERVICES

- Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.
- Serve as the principal liaison between the District government and the US General Accountability Office.
- Conduct other special audits, assignments, and investigations.
- Audit procurement and contract administration on a continual basis.
- Forward to the appropriate authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office.
- Enter into a contract with an outside audit firm to perform the annual audit of the District government's financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.

OBJECTIVE 1: Through the Accountability, Control, and Compliance Program, conduct audits and inspections for the District government, focusing efforts on mitigating risks that pose the most serious challenges to District agencies and other stakeholders.

INITIATIVE 1.1: Schedule and conduct audits of the District of Columbia Education Programs (DCEP).

Our school-deployed audit resources will address identified high risk areas, to include audits on management of truancy; costly service contracts; and management of grant revenue for school programs. These audits will begin during FY2009 and will be concluded before the end of FY2011, commensurate with emerging priority issues. The purpose of the audits is to address causes of overcharges to DCEP budget and to prevent loss of grant funds.

INITIATIVE 1.2: Establish a Sustained Compliance Program to improve follow-up with inspected agencies.

In October 2008, the Inspections & Evaluations Division (I&E) will develop an on-going compliance program to monitor and report on inspected agencies' implementation of agreed-upon inspection recommendations. The program will include: (1) a system for tracking the status of each recommendation in our Inspection Reports; (2) an annual re-inspection plan; (3) re-inspection of selected agencies as planned; (4) and annual publication of a compliance report on agencies' progress in carrying out OIG recommendation. The compliance program will be fully operational by September 2009 and will be on-going each fiscal year thereafter.

OBJECTIVE 2: Use the law enforcement and compliance program to conduct investigations into allegations of waste, fraud and abuse relating to the programs and operations of the District government.



INITIATIVE 2.1: Outreach to communicate information about the Whistleblower Protection Act.

The OIG will incorporate information concerning the Whistleblower Protection Act and other similar protection provisions in brochures and in other outreach efforts to encourage employees to report waste, fraud and abuse without fear of retaliation. Funding in the amount of \$100,000 for this initiative was added to the OIG budget by the DC Council. This initiative will be completed by the second quarter in FY 2009.

INITIATIVE 2.2: Outreach to District government employees to communicate the rules regarding the appropriate conduct to protect the integrity of District government.

The OIG will conduct corruption prevention lectures with District government employees working in various agencies to inform them of the criminal, ethical and administrative rules District government employees are required to follow. This outreach also will educate District government employees of the mission of the OIG so that they can fulfill their obligations to report crime, corruption and ethical violations appropriately. The corruption prevention lectures will include distribution of an OIG brochure which provides information about the OIG, including contact information. The OIG anticipates conducting six to eight corruption prevention lectures in FY 2009.

PROPOSED KEY PERFORMANCE INDICATORS

Measure	FY07 Actual	FY08 Original Target	FY08 YE Actual	FY09 Projection	FY10 Projection	FY11 Projection
Number of final audit report issued (financial /performance).	N/A	24		26	28	28
Potential monetary benefits resulting from audits (\$million).	N/A	15		17	19	21
% of all fiscal year assigned inspections/ evaluations conducted.	N/A	80		N/A	N/A	N/A
Number of final inspection/evaluation reports issued.	N/A	N/A	N/A	10	11	12
% of complaints evaluated with ten days of receipt in investigations.	N/A	80		82	83	85
Number of criminal/ civil resolutions obtained in MFCU cases.	N/A	10		12	16	16