



**FY 11 PERFORMANCE PLAN  
Office of the Inspector General**

**MISSION**

The mission of the Office of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, and to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.

**SUMMARY OF SERVICES**

- Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.
- Serve as the principal liaison between the District government and the US General Accountability Office.
- Conduct other special audits, assignments, and investigations.
- Audit procurement and contract administration on a continual basis.
- Forward to the appropriate authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office.
- Enter into a contract with an outside audit firm to perform the annual audit of the District government’s financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.

**AGENCY WORKLOAD MEASURES**

Measures	FY2008 Actual	FY2009 Actual	FY2010 YTD
Number of complaints received	585	635	Not available
Number of referrals	280	329	Not available
Number of unusual incident reports received in the MFCU.	3,975	4,234	Not available
Number of investigations initiated in the MFCU	260	277	Not available
Number of agencies/offices provided audit coverage	27	23	Not available

**OBJECTIVE 1: Through the Accountability, Control, and Compliance Program, conducts audits and inspections for the District government, focusing efforts on mitigating risks that pose the most serious challenges to District agencies and other stakeholders.**

**INITIATIVE 1.1: Schedule and conduct audits of the District of Columbia Medicaid Program.**

Our audit plan for Medicaid coverage is citywide and comprehensive. Medicaid audit topics include: payment of claims; eligibility of recipients; provider rates; durable medical equipment/prosthetics, orthotics, and supplies; contracts; third party liability; and human care agreements. These audits will begin during FY2011 commensurate with emerging priority issues. The purpose of the audits is to address key internal controls



over Medicaid spending. The District’s Medicaid Program will spend over \$2 billion on healthcare in FY 2011. The Medicaid Program has been of continuing concern to the District for some time and has been identified in recent Management Reports related to the Comprehensive Annual Financial Report as a significant deficiency affecting the District’s financial management infrastructure.

**OBJECTIVE 2: Use the law enforcement and compliance program to conduct investigations into allegations of waste, fraud, and abuse relating to the programs and operations of the District Government.**

**INITIATIVE 2.1: Investigative referrals to District government agencies to inform them of the interim findings, actions, and/or results of OIG investigations.**

In FY 2011, the OIG will continue an initiative that began in FY2010 of sending investigative referrals to District government agencies to inform them of certain activities related to OIG investigations that may be of interest to the agency. The referrals may be of interest to District agencies because the person involved is an agency employee and he/she was arrested and/or indicted, the matter was referred to the OIG by the agency, and/or the agency was helpful to the OIG during the investigation. OIG investigative referrals also may concern matters which may require civil action to recoup funds. This initiative will be expended in FY11, as compared to FY10, in that the OIG anticipates sending investigative referrals concerning more investigations and to agency employees at all levels (i.e. in addition to agency heads) who may have a need to know and/or an interest in knowing the information contained in the investigative referral.

**PROPOSED KEY PERFORMANCE INDICATORS**

Measure	FY2009 Actual	FY2010 Target	FY2010 YTD	FY2011 Projection	FY2012 Projection	FY2013 Projection
Number of final audit report issued (financial /performance).	34	28	Not available	28	28	28
Potential monetary benefits resulting from audits (\$million).	50.3	19	Not available	19	21	21
Number of final inspection/evaluation reports issued.	16	10	Not available	10	10	10
% of complaints evaluated within ten days of receipt in investigations.	90.43	83	Not available	85	85	85
Number of criminal/ civil resolutions obtained in MFCU cases.	19	16	Not available	20	20	20
% of all fiscal year assigned inspections/ evaluations conducted.	Not available	Not available	Baseline	TBD	TBD	TBD