



Office of the Inspector General OIG (AD)

MISSION

The mission of the Office of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, and to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.

SUMMARY OF SERVICES

- Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.
- Serve as the principal liaison between the District government and the US General Accountability Office.
- Conduct other special audits, assignments, and investigations.
- Audit procurement and contract administration on a continual basis.
- Forward to the appropriate authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office.
- Enter into a contract with an outside audit firm to perform the annual audit of the District government's financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.

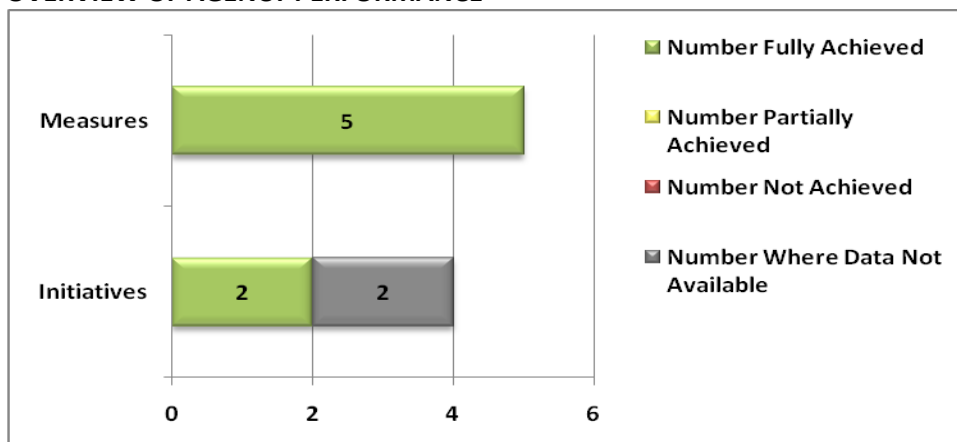
AGENCY OBJECTIVES

1. Through the Accountability, Control, and Compliance Program, conduct audits and inspections for the District government, focusing efforts on mitigating risks that pose the most serious challenges to District agencies and other stakeholders.
2. Use the law enforcement and compliance program to conduct investigations into allegations of waste, fraud and abuse relating to the programs and operations of the District government.

ACCOMPLISHMENTS

- ✓ The Audit Division issued 35 reports with potential monetary benefits of nearly \$50.3 million.
- ✓ The Inspections and Evaluations Division (I&E) implemented and surpassed an output-based performance measure: the number of final inspection/evaluation reports published.
- ✓ The Investigation Division investigated a wide variety of allegations of criminal and administrative misconduct by District employees; investigations resulted in twenty five arrests, seventeen indictments, sixteen convictions, and sentences of imprisonment totaling 1,256 months.

OVERVIEW OF AGENCY PERFORMANCE



Performance Initiatives – Assessment Details

Performance Assessment Key:

- Fully achieved Partially achieved Not achieved Data not reported

OBJECTIVE 1: THROUGH THE ACCOUNTABILITY, CONTROL, AND COMPLIANCE PROGRAM, CONDUCT AUDITS AND INSPECTIONS FOR THE DISTRICT GOVERNMENT, FOCUSING EFFORTS ON MITIGATING RISKS THAT POSE THE MOST SERIOUS CHALLENGES TO DISTRICT AGENCIES AND OTHER STAKEHOLDERS.

- **INITIATIVE 1.1: Schedule and conduct audits of the District of Columbia Education Programs (DCEP).**
Agency did not submit information
- **INITIATIVE 1.2: Establish a Sustained Compliance Program to improve follow-up with inspected agencies.**
Agency did not submit information

OBJECTIVE 2: USE THE LAW ENFORCEMENT AND COMPLIANCE PROGRAM TO CONDUCT INVESTIGATIONS INTO ALLEGATIONS OF WASTE, FRAUD AND ABUSE RELATING TO THE PROGRAMS AND OPERATIONS OF THE DISTRICT GOVERNMENT.

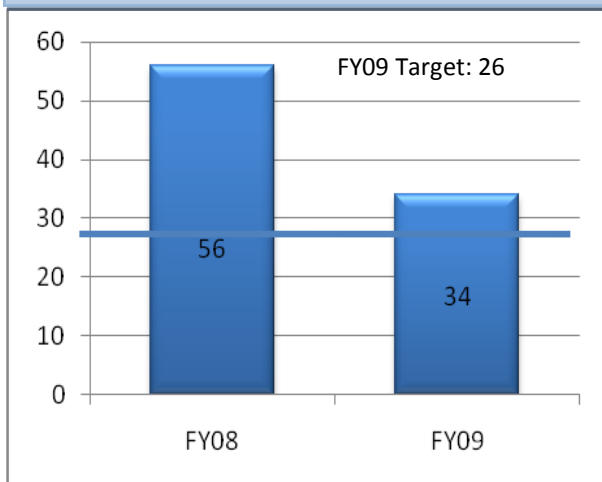
- **INITIATIVE 2.1: Outreach to communicate information about the Whistleblower Protection Act.**
The OIG's Investigations Division regularly conducted corruption prevention lectures with District government employees working in various agencies to inform them of the criminal, ethical, and administrative rules District government employees are required to follow. This outreach also informed District employees of the mission of the OIG so that they can fulfill their obligations to report crime, corruption, and ethical violations appropriately. The corruption prevention lectures included distribution of an OIG brochure which provides information about the OIG, including contact information. The OIG conducted eight of these lectures during the year, fully achieving its FY 2009 target.
- **INITIATIVE 2.2: Outreach to District government employees to communicate the rules regarding the appropriate conduct to protect the integrity of District government.**
The OIG incorporated information concerning the Whistleblower Protection Act and other similar protection provisions in brochures and in other outreach efforts to encourage employees to report waste, fraud and abuse without fear of retaliation. Also during the FY 2009 the OIG regularly conducted corruption prevention lectures with all new District



government employees on their first day of work and with employees already working in various agencies. Included in all of lectures is information about the Whistleblower Protection Act and other similar protection provisions. In addition, the OIG reminds all District government employees who attend these lectures of their obligations to report waste, fraud and abuse and encourage them to do so without fear of retaliation. Through these efforts during the fiscal year the OIG has fully achieved its FY 2009 target.

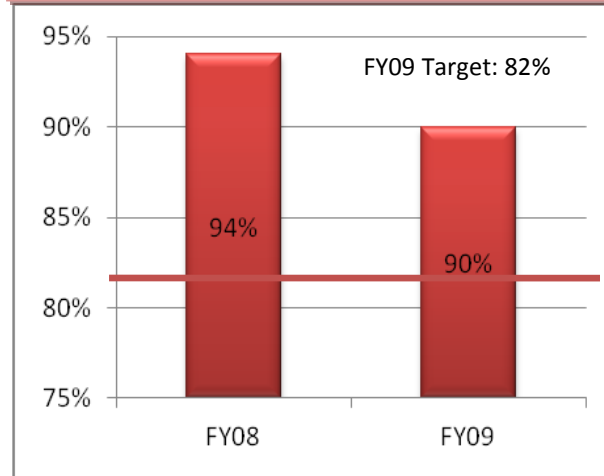
Key Performance Indicators – Highlights

From Objective 1: Number of final audit report issued (financial/performance).



FULLY ACHIEVED

From Objective 1: Investigation complaints evaluated with ten days of receipt in division



FULLY ACHIEVED

More About These Indicators:

How did the agency's actions affect this indicator?

- Our goal-orientated approach to managing our audit resources has enabled us to meet and exceed our annual targets. Key factors contributed to this accomplishment, including: (1) targeting principle risk areas; and (2) our managers, auditors and analysts strived for greater efficiency in the performance of their fieldwork, analysis, and writing/editing processes in order to increase their production of more streamlined and timely written reports.

What external factors influenced this indicator?

- Tightened revenue streams, combined with higher demands on social and support services have placed added stress on the city's limited resources and heightened the importance of mitigating financial losses. In FY09, we focused on evaluating risk areas and programs that represented issues of critical concern to the Mayor and City Council.

How did the agency's actions affect this indicator?

- The Investigations Division processed 635 intakes during FY 2009 by having multiple managers with diverse and overlapping skills who are able to assist each other with evaluating and processing the intakes as they are received.
- The MFCU continued to demonstrate a high level of activism and community outreach. They are members of task forces, make presentations to the community, and participate in training nationwide.

What external factors influenced this indicator?

- The OIG has existing long term processes that have been improved over the years so that personnel external to the Division transfer calls to the Investigations Division hotline and forward intakes received by regular mail and electronic mail. The MFCU's outreach helped to generate an increase in caseload.



Key Performance Indicators – Details

Performance Assessment Key:

● Fully achieved
 ● Partially achieved
 ● Not achieved
 ● Data not reported

	Measure Name	FY2008 YE Actual	FY2009 YE Target	FY2009 YE Revised Target ¹	FY2009 YE Actual	FY2009 YE Rating	Budget Program
●	1.1 Number of final audit report issued (financial/performa nce).	56	26		34	130.77%	ACCOUNTABILITY, CONTROL, COMPLIANCE
●	1.2 Potential monetary benefits resulting from audits	55	17	15	\$50.30	335.33%	ACCOUNTABILITY, CONTROL, COMPLIANCE
●	1.3 Inspections (Evaluation reports) issued	0	10		16	160%	ACCOUNTABILITY, CONTROL, COMPLIANCE
●	2.1 Investigation complaints evaluated with ten days of receipt in division	94	82	80	90.43%	113.04%	LAW ENFORCEMENT AND COMPLIANCE
●	2.2 Criminal/civil resolutions obtained in MFCU	17	14	12	19	158.33%	LAW ENFORCEMENT AND COMPLIANCE

¹ Agencies have been permitted to change their targets as long as 1) the original targets are published in the PAR, as they are here, and 2) a strong justification was presented for the change.