



Office of the Inspector General OIG (AD)

MISSION

The mission of the Office of the Inspector General (OG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, and to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.

SUMMARY OF SERVICES

Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations serve as the principal liaison between the District government and the US Government Accountability Office. Conduct other special audits, assignments, and investigations. Audit procurement and contract administration on a continual basis. Forward to the appropriate authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office. Enter into a contract with an outside audit firm to perform the annual audit of the District government's financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.

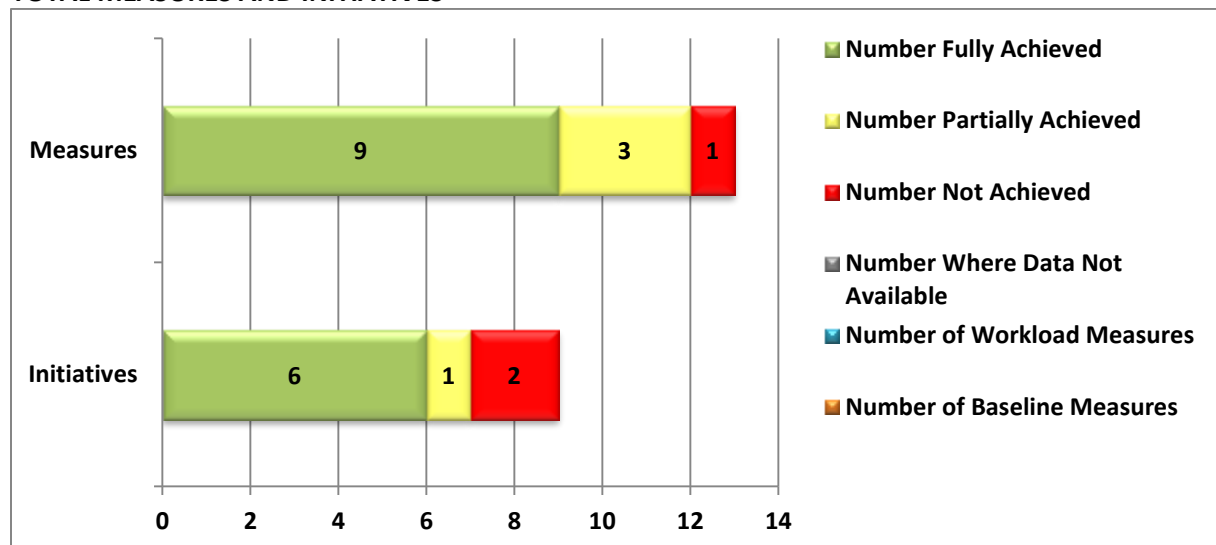
ACCOMPLISHMENTS:

- ✓ In FY 2012, the OIG Audit, Investigations (ID), and Inspections and Evaluations (I&E) Divisions underwent peer reviews. Representatives from the Association of Local Government Auditors (ALGA) completed a peer review of the Audit Division, which received an unqualified opinion from the ALGA peer review team. The peer review noted no deficiencies within the Audit Division. The Association of Inspectors General (AIG) performed a peer review of the ID and I&E. The peer review assessed the work of ID and I&E for compliance with the Council of Inspectors General on Integrity and Efficiency (CIGIE) standards, and unanimously concluded that ID and I&E met all relevant and current CIGIE standards for the period under review.
- ✓ In FY 2012, the United States Attorney Office (USAO) successfully prosecuted six cases investigated by the OIG and referred for criminal prosecution. Five of those cases resulted in criminal convictions for Fraud in the First Degree and one resulted in a criminal conviction for Fraud in the Second Degree. The six defendants were ordered to pay a total of \$111,107 in restitution. In addition, the OIG referred 92 cases to the Office of the Attorney General for civil recoupment.
- ✓ In FY 2012, the OIG's Medicaid Fraud Control Unit (MFCU) processed 3,241 incoming unusual incident reports, complaints, or referrals, initiated 191 investigations and closed 107 matters. Through trial or settlement, the MFCU attained 13 substantive dispositions of outstanding fraud, abuse, neglect and sexual assault cases, including a case in which the defendant was convicted of 35 separate felony offenses. The MFCU recouped \$3,799, 116 in civil and criminal fraud settlements.

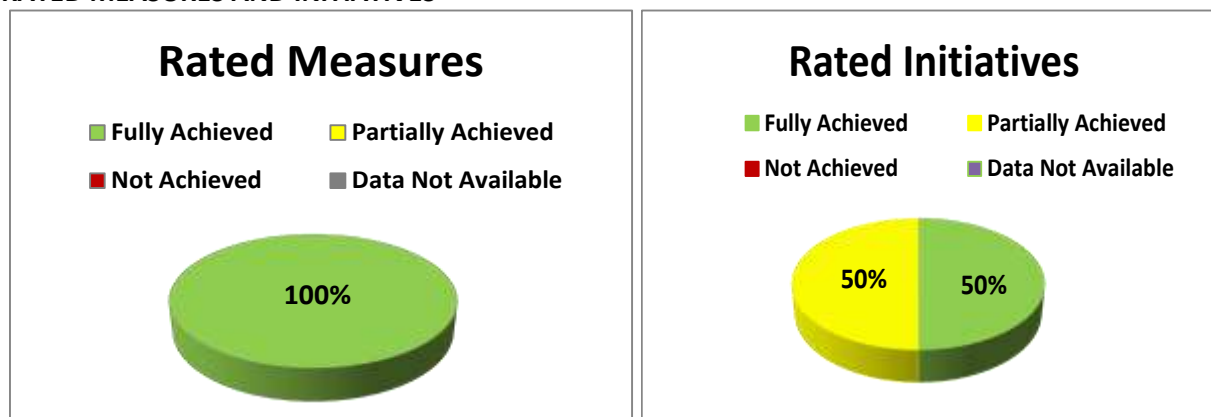


OVERALL OF AGENCY PERFORMANCE

TOTAL MEASURES AND INITIATIVES



RATED MEASURES AND INITIATIVES



Note: Workload and Baseline Measurements are not included

Default KPI Rating:	
$\geq 100\%$	Fully Achieved
75 - 99.99%	Partially Achieved
$< 75\%$	Not Achieved



Performance Initiatives – Assessment Details


Performance Assessment Key:

 Fully achieved  Partially achieved  Not achieved  Data not reported

Agency Management


OBJECTIVE 1: Accountability, Control, and Compliance Program

INITIATIVE 1.1: Schedule and conduct audits of the District of Columbia Medicaid Program.

-  **Partially achieved:** The Medicaid Claims at the Department of Health Care Finance Audit was completed in FY 2012. Audits of Alliance & Medicaid Eligibility; Medicaid Administrative Services Contracts; and Nursing Home Performance and Administrative Salaries are ongoing in FY 2012 and will be completed in 2013. Durable Medical Equipment and Medicaid State Plan / Program Integrity audits were started in FY 2013 and are expected to be completed in FY 2014. Therefore this initiative was partially (75%) completed in FY 2012.

OBJECTIVE 2: Law Enforcement and Compliance Program

INITIATIVE 2.1: Establish a special initiative to address fraudulent unemployment claims.

-  **Fully achieved:** In FY 2012, the USAO successfully prosecuted 6 cases that were investigated by the OIG and referred for criminal prosecution. Five of those cases resulted in criminal convictions for Fraud in the First Degree and one resulted in criminal conviction for Fraud in the Second Degree. The six defendants were ordered to pay a total of \$111,107 in restitution. In addition, the OIG referred 92 cases to the OAG for civil recoupment. Cases involving District government employees also were referred to the employing agencies for appropriate administrative action.



Key Performance Indicators – Details

Performance Assessment Key:

● Fully achieved
 ● Partially achieved
 ● Not achieved
 ● Data not reported

	KPI	Measure Name	FY 2011 YE Actual	FY 2012 YE Target	FY 2012 YE Revised Target	FY 2012 YE Actual	FY 2012 YE Rating	Budget Program	
	●	1.1	Number of final audit report issued (financial/performance).	28	28		28	100%	ACCOUNTABILITY CONTROL / COMPLIANCE
	●	1.2	Potential monetary benefits resulting from audits	28.2	38		\$74.80	196.84%	ACCOUNTABILITY CONTROL/ COMPLIANCE
	●	1.3	Number of final inspection/evaluation reports issued.	11	10		10	100%	ACCOUNTABILITY CONTROL / COMPLIANCE
	●	2.1	Percentage of complaints evaluated within ten days.	96%	85%		100%	117.65%	LAW ENFORCEMENT AND COMPLIANCE
	●	2.2	Number of criminal/civil resolutions obtained in Medicaid Fraud Cases.	27	22		14	63.64%	LAW ENFORCEMENT AND COMPLIANCE